



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL FORM

Applicants: Santhouse et al.  
Serial No.: 10/650,468  
Filed: August 28, 2003  
For: ION AND/OR OZONE PRODUCING HAIR SETTING  
ASSEMBLY  
Art Unit: 3732  
Examiner: Not yet assigned  
Confirmation No.: 7100  
Customer No.: 27,623  
Attorney Docket No.: 884.0147USU

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

We are enclosing:

1. Information Disclosure Statement with Certificate Under 37 C.F.R. §1.97(e);
2. PTO Form 1449 with copies of patents;
3. Transmittal letter in duplicate; and
4. Postcard.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,

Charles N.J. Ruggiero, Esq.  
Reg. No. 28,468  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10th Floor  
Stamford, Connecticut 06901-2682  
(203) 327-4500

Date: June 28, 2004

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON JUNE 28, 2004.

Allison Berkman

NAME

Allison Berkman

SIGNATURE

6/28/04

DATE



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**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In accordance with applicants' duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed on September 26, 2003.

We are enclosing copies of U.S. Patent Nos. 4,447,705; 6,310,322 and 6,672,315.

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding

what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

**CERTIFICATE UNDER 37 C.F.R. §1.97(e) FOR FILING I.D.S.**

The undersigned attorney hereby certifies: That each item of information contained in the attached Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application no more than three (3) months prior to the filing of this statement.

June 28, 2004

Respectfully submitted,



Charles N.J. Ruggiero

Reg. No. 28,468

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

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FORM PTO-1449

Docket Number (Optional)

Application Number

884.0147USU

10/650,468

Applicant

Santhouse et al.

Filing Date

August 28, 2003

Group Art Unit

3732

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

## U. S. PATENT DOCUMENTS

INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,447,705	5/8/84	Bullock	219	222	
	6,310,322	10/30/01	Yang et al.	219	386	
	6,672,315	1/6/04	Taylor et al.	132	116	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	International Search Report Application No. PCT/US03/27168 dated May 10, 2004.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.